

DYNAMICS ASSOCIATES

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MAY SUCCESS STORIES

- Managing director of controllers group at investment bank secures position at global investment banking and securities firm
- Derivatives analyst accepts position in pricing department of broker/dealer
- Compliance associate and JD joins Japanese financial services provider
- Mutual fund accounting manager accepts position at insurance and investment banking firm
- CDO analyst and Ivy League graduate joins \$25 billion alternative investments firm
- Second-year investment banking analyst and Ivy League graduate accepts position at \$13 billion New York City-based hedge fund
- Sales support specialist joins global investment bank
- Third-year investment banker accepts position in consumer group of long/short equity hedge fund focused in healthcare sector
- Second-year investment banking analyst accepts position in real estate group at Australian investment bank
- Hedge fund investor relations professional becomes client service associate at \$10 billion hedge fund

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INDUSTRY NEWS

- Kohlberg Kravis Roberts has hired its first chief compliance officer, showing what industry professionals describe as a renewed compliance focus in the private equity industry. Former hedge fund executive H.J. Willcox is supposed to start later this month as global CCO at KKR. Willcox most recently held the top compliance post at Stamford, CT-based Silver Point Capital. Private Equity firms have traditionally not hired a dedicated CCO because they faced lower compliance burdens. As firms expand and develop more complex business activities they require more compliance monitoring and, if they have more than 14 clients they must register with the SEC. ("KKR Hires First Compliance Chief" *Alternative Investment News*)
- Historically, internal audit was hardly considered a stepping stone for executive careers in finance. In recent years, though, after the many corporate scandals that led to the Sarbanes-Oxley Act and heightened financial-reporting mandates, internal audit has found itself in the corporate spotlight. Now, at many companies, the post is considered a valuable rung on the "leadership ladder" - offering its practitioners immersion in all aspects of business. Chief auditors are now

considered senior finance executives, rather than being a half-step below that status, as they were in the past. A number of companies are actually bringing in seasoned executives from other areas or companies to run internal audit. ("Internal Audit Comes of Age" *CFO.com*)

- Morgan Stanley has begun to boost private equity unit in Japan. They recently appointed Yoshihiko Shigenari as head of its private equity arm in Japan. Shingenari is currently co-head of the bank's investment banking division in Tokyo. "This appointment clearly underscores our commitment to expanding our private equity franchise," Stephen Trevor, co-head of Morgan Stanley Private Equity, said in a statement. ("Morgan Stanley Boosts Private Equity In Japan" *FINAlternatives*)
- Citadel Investment Group LLC, Tudor Investment Corp. and at least 20 other hedge funds are taking advantage of job cuts and pay reductions on Wall Street to recruit top talent and expand into new businesses. More than 45 traders, bankers, analysts, and other executives have left the major investment banks this year to join hedge funds and private equity firms, according to company releases compiled by Bloomberg. ("Hedge Funds Hire From Wall Street as Jobs Disappear, Pay Falls" *Bloomberg.com*)

FEATURED JOBS

Associate/VP-New York

- Funds Products Group
- Review complex investment processes spanning traditional and alternative asset classes
- Must understand US and/or Canadian investment markets
- Work on North American retail product development including structured products, ETFs, hedge funds and close-end funds
- Must have familiarity with various legal structures and vehicles suitable for non-traditional investments
- 4+ years work experience in which significant time was spent on product development.

Controller-Denver, CO

- Alternative Investment Management firm
- Ensure that fund and management company accounting records are maintained in accordance with stated policies and procedures
- Perform analysis of general ledger and investment activity to resolve issues
- Oversee relations with the fund administrators to ensure timely and accurate delivery of information pertaining to fund financials
- Review financial, management and investor reporting packages on a monthly and quarterly basis
- Experience in alternative investments

Associate-San Francisco, CA

- Prepare and analyze all financial reports as required to comply with internal and external reporting requirements
- Establish and maintain records for fixed assets including asset tag list and depreciation schedules
- 2-3 years prior accounting experience required
- Knowledge of partnership accounting and asset management industry helpful
- Quickbooks & excel experience needed

Fund Accountant-Greenwich, CT

- Focus on all aspects of daily accounting and financial reporting
- 1-3 years experience
- Public accounting in auditing & financial services required
- CPA or working towards CPA license is highly desired

Operations Officer-New York

- Part of Dedicated Desk Support team
- Responsible for booking of derivative products including, OTS Equity Options, Rates Derivatives, Credit Derivatives
- Responsible for the daily activity of a team of 3-4 direct reports
- Liaise with Traders on trade economics of the above mentioned products
- Provide Client Service support for externally managed funds
- Good understanding and appreciation of Operational Risk

Administrative Assistant-New York City

- \$3.3 billion hedge fund
- Organize meetings and conferences both on and off site
- General office support
- Extensive domestic and international travel planning
- 3-4 years of administrative experience required
- Experience in financial services preferred

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